

Money Laundering (Private Individual)

Confirmation of Verification of Identity

Please read this section carefully, and ensure you send one original document from each of the columns below.

Explanatory Notes on Identity Verification:

As part of the Pointon York SIPP Solutions Limited procedures for new business and the opening of bank accounts, the requirements of the UK Money Laundering Regulations 2007 and subsequent legislation and guidance need to be met. This process applies to all providers of financial services in all countries of the EU.

This is frequently achieved by the completion of a Verification of Identity Certificate by an adviser to The Pointon York SIPP holder, who has previously carried out a money laundering procedure.

Where there is no adviser, or the adviser is unable to verify the identity for some reason, Pointon York SIPP Solutions Limited is required to carry out the necessary process to confirm your identity and your address.

Please note the following:

- If you are unable to obtain verification by your adviser, the sections below must be completed, and the necessary documents provided to Pointon York SIPP Solutions Limited, irrespective of whether you plan to make ongoing contributions.

- Your Pointon York SIPP will not be established and no contributions or transfer values will be accepted into The Pointon York SIPP or the SIPP bank account until this verification process has been completed.

- Pointon York SIPP Solutions Limited is required to see the original documents referred to below. The regulations do not permit us to accept a photocopy.

Those documents supplied to us that are particularly significant (e.g. driving licence, passport etc) will be returned by Royal Mail Special Delivery.

- The regulations require that you supply evidence of your identity and separate evidence of your address; thus you may use a Driving Licence or a State Pension or Benefits book as evidence of your identity or evidence of your address but not both.

Confirmation of Identity Verification Documents

Evidence of Name (please tick one box)

Current Full Signed Passport	<input type="checkbox"/>
HM Revenue and Customs tax notification letter	<input type="checkbox"/>
Current UK/EU Photo Driving Licence	<input type="checkbox"/>
Current Full UK Driving Licence (Old Style)	<input type="checkbox"/>
State Pension/Benefits book or notification letter	<input type="checkbox"/>
Sub-contractors certificate ⁽¹⁾	<input type="checkbox"/>
Firearms Certificate	<input type="checkbox"/>
Resident Permit issued to EU Nationals by the Home Office	<input type="checkbox"/>

Evidence of Address (please tick one box)

Most recent mortgage statement	<input type="checkbox"/>
Current UK/EU Photo Driving Licence	<input type="checkbox"/>
Current Full UK Driving Licence (Old Style)	<input type="checkbox"/>
Current Local Authority Tax Bill	<input type="checkbox"/>
State Pension/Benefits book or notification letter	<input type="checkbox"/>
Utility Bill dated within the last three months (not a mobile phone bill)	<input type="checkbox"/>
Bank/building society/credit union statement or passbook dated within the last three months	<input type="checkbox"/>
Local Authority rent card or tenancy agreement	<input type="checkbox"/>
Solicitor letter confirming completion of house purchase or land registration ^{(2) (3)}	<input type="checkbox"/>

Notes:

- (1) For self employed persons in the construction industry please send a tax exemption certificate with photograph
- (2) You must submit a certified copy of the search if you are relying on this as evidence.
- (3) The previous address must also be verified, please ensure you select the evidence for this and enclose the document.
- (4) Please note that Pointon York SIPP Solutions Limited reserves the right to use Equifax Plc online systems to verify your identity and address. Equifax Plc is part of Equifax Inc.

Signature

Date

Name (please print)

Position