

Money Laundering (IFA)

Confirmation of Verification of Identity

Your financial adviser should complete this money laundering certificate or send their own Money Laundering evidence/certificate.

1. Details of Individual (see explanatory notes below)

Please ensure that all of the below details are completed.

Full Name of Customer	
Current Address	
	Postcode
Previous Address (if individual has changed address in the last three months)	
	Postcode
Date of Birth	

2. Confirmation

I/we confirm that:

- (a) the information in section 1 above was obtained by me/us in relation to the customer
- (b) the evidence I/we have obtained to verify the identity of the customer

(tick only one)

Meets the standard evidence set out within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group; or

Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)

Adviser's Signature	Date
Adviser's Name	Position

3. Details of Introducing Firm (or Sole Trader)

Full Name of Regulated Firm (or Sole Trader)
Firm's FSA Reference Number

Explanatory Notes:

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contribution who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those whose identity has not been verified by virtue of the application of the permitted exemption under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.
3. This confirmation must carry an original signature, or an electronic equivalent.